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January 20, 2009

MEMORANDUM TO: Faculty and Professional Staff

FROM: Richard F. Antonak
Vice Provost for Research

SUBJECT: Request for Proposals: University of Massachusetts President's
2009 Creative Economy Initiatives Fund

The University of Massachusetts (UMass) President's Office is pleased to announce the 2009 Creative Economy Initiatives Fund. Total funding will be contingent on the university's budget situation. Established in 2007, and created to complement the president's Science and Technology Initiatives Fund, the Creative Economy Fund represents a continuing commitment to maintaining the university's central role in the social and economic development of the Commonwealth, and it directly supports the UMass Trustees' strategic priority on strengthening the university's research enterprise and growing that portfolio substantially.

Proposal submission

The UMass Boston Office of the Vice Provost for Research (OVPR) is responsible for reviewing all letters of intent, concept papers, and full proposals, and then forwarding these materials, as appropriate, to the UMass President's Office. All concept papers and full proposals will be reviewed by the Office of the Senior Vice President for Academic Affairs, Student Affairs, and International Relations in consultation with the OVPR.

Application and review process

The timeline is as follows:

- Wednesday, February 4: Letters of Intent due to the OVPR
- Wednesday, February 11 : Faculty and Professional Staff invited by the OVPR to submit concept papers
- Wednesday, February 18: Concept Papers due to the OVPR
- Wednesday, February 25: OVPR forwards Concept Papers to the President's Office
- Friday, March 20: President's Office, via the OVPR, provides feedback to all investigators and invites some to submit proposals.
- Wednesday, April 8, Proposals due to the OVPR
- Wednesday, April 15: OVPR forwards Proposals to President's Office
- Friday, June 5: President's Office announces awards

Purposes and allowable uses of funds

When we say, "The road to the social and economic development of the Commonwealth goes through the University of Massachusetts," we recognize that truly healthy economies depend on a dynamic balance of what C.P. Snow referred to as the "two cultures." The Commonwealth must certainly continue to invest in science and technology for future economic growth. But just as we need to understand the broad implications of new technologies and the impact of rapid transformations on economic life, we must also recognize that any effective approach to economic development must not ignore the social, cultural and ethical impact of proposed policies. Support for diversity, multiculturalism, human rights, wise and just public policy and vibrant cultural initiatives are all areas in which the university's faculty can make important contributions to our state. The "Creative Economy" is one which recognizes, incorporates and celebrates these crucial broader dimensions of economic development.

As stated in the New England Council report: "The Creative Economy Initiative: The role of the Arts and Culture in New England's Economic Competitiveness" (June 2000):

The Creative Economy carries power and promise, and generates income, jobs and visibility for New England. Most Americans recognize the inherent value of the arts and the life they bring to communities through theaters and dance companies, museums and galleries, libraries, sculptures, orchestras and more. New England ranks among the top regions in the nation when it comes to cultural offerings with thousands of arts-related enterprises and event attendance nearly 8 percent higher than the national average. In fact, it is this creative cluster of activity that gives New England a significant advantage in the increasingly intense competition for highly skilled employees and for the economic edge.

As the economic environment changes, so too must our understanding of the role of the arts and culture in supporting it. With the remarkable convergence of creativity and technology taking place today, there are more links between the nonprofit and commercial sectors than ever before. This calls for new approaches and policies that will harness the entrepreneurial energy in the arts industry, and enhance the activity we see today. New England's creativity is a critical asset when it comes to employment, community empowerment, enhanced quality of life and education – we have the opportunity, and the tools, to maximize it.

The President's Creative Economy Initiatives Fund is intended to provide seed funding to initiatives which support the contributions of the arts, humanities and social sciences to the social and cultural fabric of the Commonwealth. Funding may be used to develop initiatives within a campus, with other campuses, and/or with partners outside the campus to support the search for larger grants or follow-on awards; or to support research which informs local, state, national or international decision-making.

It is anticipated that awards will be made in the \$10,000 - \$40,000 range but the President's Office reserves the right to be flexible in this regard. The project period is typically twelve

months, starting at the beginning of the university's fiscal year (July 1). An interim project report is requested in mid-December and a final report in mid-June.

Criteria for awards

The criteria for awarding funds from the Creative Economy Initiatives Fund include:

- High-quality research and/or scholarly activity;
- Potential for additional external funding (state, federal, foundation, local community, international agencies, private);
- Demonstrated impact on economic and/or social development of local community, regions, state, and beyond;
- Presence of strong project leadership;
- Endorsement of the UMass Boston chancellor and evidence of campus commitment; and
- Collaboration among disciplines, within a single campus or multi-campus, or with external constituencies, where appropriate

Guidance for Concept Papers

Concept papers should:

- Be no more than two (2) pages in length;
- Outline the thrust of the project and how it will achieve the goals of the fund;
- Identify project leadership and estimated cost;
- Describe the external funding opportunities to be pursued, if any, and the expected nature of collaborations (on a preliminary basis if necessary);
- Demonstrate the endorsement of your chairperson and dean; and
- Demonstrate the endorsement of the chancellor. Submission via the UMass Boston OVPR will be presumed to indicate approval of the chancellor. For multi-campus proposals, evidence of approval of each campus research office is needed.

will be reviewed by the Office of the Senior Vice President for Academic Affairs, Student Affairs, and International Relations in consultation with the OVPR. Applicants who submit the strongest concept papers will be asked to submit full proposals. In some cases, where opportunities for synergy exist, separate applicants may be encouraged to combine their concepts into a single proposal.

Guidance for full proposals

Full proposals should:

- Be no more than five (5) pages in length;
- Elaborate on the intellectual focus presented in the concept paper and provide a more thorough project description, including anticipated performance milestones and success measures;

- Specifically address how the project will meet the selection criteria of the fund;
- Identify and address key administrative and managerial challenges to the project;
- Provide a budget for the proposed use of funds;
- Demonstrate the endorsement of your chairperson and dean; and
- Demonstrate the endorsement of the chancellor. Submission via the UMass Boston OVPR will be presumed to indicate approval of the chancellor. For multi-campus proposals, evidence of approval of each campus research office is needed.

Proposals will again be reviewed by the Office of the Senior Vice President for Academic Affairs, Student Affairs, and International Relations in consultation with the OVPR. The monthly President's Council meetings will be used to provide updates and solicit input from the campus chancellors. The senior vice president will make funding recommendations to the president, who will have final decision-making authority.

UMass Boston contact

For additional information or assistance with any of the above, please contact William Brah, executive director of the Venture Development Center, at 617.287.7723 or william.brah@umb.edu. For questions on financial assistance, please contact Paul M. Mullane, OVPR, at 617.287.7879 or paul.mullane@umb.edu.

cc: J. Keith Motley, Chancellor
Winston Langley, Interim Provost and Vice Chancellor for Academic Affairs